SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* <u>MCCARTHY KENT C</u>			R (N	2. Date of Event Requiring Statement (Month/Day/Year) 12/05/2014		3. Issuer Name and Ticker or Trading Symbol <u>China Cord Blood Corp</u> [NYSE: CO]								
(Last) (First) (Middle) 7335 COTTONWOOD DRIVE					4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner Officer (give title X Other (specify below)					5. If Amendment, Date of Original Filed (Month/Day/Year)				
											6. Individual or Joint/Group Filing (Check Applicable Line)			
(Street) SHAWNEE KS 66216						13 (d) group owning > 10%				Form filed by One Reporting Person X Form filed by More than One Reporting Person				
(City)	(State)	(Zip)												
Table I - Non-Derivative Securities Beneficially Owned														
1. Title of Security (Instr. 4)									4. Nature of Indirect Beneficial Ownership (Instr. 5)					
Common Stock						10,325,546 I			See footnote ⁽¹⁾					
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 4)				2. Date Exercisable ar Expiration Date (Month/Day/Year)		d 3. Title and Amount of Securi Underlying Derivative Securi			ty (Instr. 4) Conve or Exe		ercise	5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
				Date	Expiratio	_			Amount or Number of	Price Deriva Secur	ative	Direct (D) or Indirect (I) (Instr. 5)		
				Exercisable	Date	Title			Shares					
MCCARTHY KENT C (Last) (First) 7335 COTTONWOOD DRIVE														
(Street)														
SHAWNEE	KS		66216											
(City)	(State)		(Zip)		-									
1. Name and Address of Reporting Person [*] JAYHAWK CAPITAL MANAGEMENT, L.L.C.														
(Last) (First) (Middle) 7335 COTTONWOOD DRIVE			(Middle)											
(Street) SHAWNEE KS 66216														
(City)	(State)		(Zip)											
1. Name and Address of Reporting Person* <u>JAYHAWK PRIVATE EQUITY, LLC</u>														
(Last) (First) (Middle) 7335 COTTONWOOD DRIVE														
(Street) SHAWNEE KS 66210			66216											
(City)	(State)		(Zip)											
1. Name and Address of Reporting Person*														

JHAB MANA	<u>GEMENT II, LLO</u>	2						
(Last) 7335 COTTONW	(Last) (First) (Middle) 7335 COTTONWOOD DRIVE							
(Street) SHAWNEE	KS	66216						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person* <u>JCF CO LF, L.P.</u>								
(Last) 7335 COTTONW	(Last) (First) (Middle) 7335 COTTONWOOD DRIVE							
(Street) SHAWNEE	KS	66216						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person* <u>JAYHAWK PRIVATE EQUITY GP II, L.P.</u>								
(Last) (First) (Middle) 7335 COTTONWOOD DRIVE								
(Street) SHAWNEE	KS	66216						
(City)	(State)	(Zip)						

Explanation of Responses:

1. See Exhibit 99.1

Remarks:

/s/ Kent C. McCarthy 12/10/2014 /s/ Kent C. McCarthy, Manager of Jayhawk Capital 12/10/2014 Management, L.L.C. /s/ Kent C. McCarthy, Manager of Jayhawk Private Equity, 12/10/2014 LLC /s/ Kent C. McCarthy, Manager 12/10/2014 of JHAB Management II, LLC /s/ Kent C. McCarthy, Manager of Jayhawk Capital Management, L.L.C., which is 12/10/2014 the general partner of JCF CO <u>LF, L.P</u> /s/ Kent C. McCarthy, Manager of Jayhawk Private Equity, LLC, which is the general 12/10/2014 partner of Jayhawk Private Equity GP II, L.P

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit 99.1

(1) Explanation of Response to Table I, Item 4: This Form 3 is being filed jointly for all members of the Section 13(d) reporting group in order to report the securities beneficially owned by the 7-2010 GRAT 5 Under Kent C McCarthy GRAT Tr Dtd 4-23-2010 and to add the 7-2010 GRAT 5 Under Kent C McCarthy GRAT Tr Dtd 4-23-2010 as an additional member of the Section 13(d) reporting group. Kent C. McCarthy is the manager of Jayhawk Private Equity, LLC, which is the general partner of Jayhawk Private Equity GP II, L.P. Jayhawk Private Equity GP II, L.P. is the general partner of Jayhawk Private Equity Fund II, L.P. Jayhawk Private Equity Fund II, L.P. directly owns 6,670,000 shares of the issuer reported on this form. As a result, Mr. McCarthy, Jayhawk Private Equity, LLC and Jayhawk Private Equity GP II, L.P. each indirectly beneficially own 6,670,000 shares. Kent C. McCarthy is also the manager of Jayhawk Capital Management, LLC, which is the general partner of JCF CO LF, L.P. JCF CO LF, L.P. directly owns 1,858,291 shares of the issuer reported on this form. As a result, Mr. McCarthy and Jayhawk Capital Management, LLC indirectly beneficially own 1,858,291 shares. Kent C. McCarthy is also the manager of JHAB Management II, LLC, which is the manager of JHAB Fund II, LLC. JHAB Fund II, LLC directly owns 1,308,901 shares of the issuer reported on this form. As a result, Mr. McCarthy and JHAB Management II, LLC indirectly beneficially own 1,308,901 shares. Kent C. McCarthy also has the power to exercise investment control over the shares owned by McCarthy Family SD, LLC. McCarthy Family SD, LLC directly owns 176,754 shares of the issuer reported on this form. As a result, Mr. McCarthy indirectly beneficially owns 176,754 shares. Kent C. McCarthy is the beneficiary of the 7-2010 GRAT 6 Under Kent C McCarthy GRAT Tr Dtd 4-23-2010 ("GRAT 6"). GRAT 6 directly owns 140,000 shares of the issuer reported on this form. As a result, Mr. McCarthy indirectly beneficially owns 140,000 shares. Kent C. McCarthy is the beneficiary of the 7-2010 GRAT 5 Under Kent C McCarthy GRAT Tr Dtd 4-23-2010 ("GRAT 5"). GRAT 5 directly owns 171,600 shares of the issuer reported on this form. As a result, Mr. McCarthy indirectly beneficially owns 171,600 shares. As a result of being the manager of Jayhawk Capital Management, LLC, Jayhawk Private Equity, LLC, and JHAB Management II, LLC, having indirect investment control over the shares owned by McCarthy Family SD, LLC and being the beneficiary of GRAT 6 and GRAT 5, Kent C. McCarthy indirectly beneficially owns an aggregate of 10,325,546 shares. Each reporting person disclaims beneficial ownership of the reported securities except to the extent of such reporting person's pecuniary interest therein.