SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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1. Name and Address of Reporting Person* <u>MCCARTHY KENT C</u>			R (N	2. Date of Event Requiring Statement (Month/Day/Year) 11/04/2014		3. Issuer Name and Ticker or Trading Symbol <u>China Cord Blood Corp</u> [NYSE: CO]								
(Last) (First) (Middle) 7335 COTTONWOOD DRIVE							4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner					5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check		
(Street) SHAWNEE KS 66216										pelow)		Applicable Line) Form filed by One Reporting Person X Form filed by More than One Reporting Person		
(City)	(State)	(Zip)												
			Ta	able I - Non	-Deriv	ativ	ve Securities Beneficial	lly	Owned					
1. Title of Security (Instr. 4)							Amount of Securities neficially Owned (Instr. 4)	F	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Common Stock						9,887,192 I			See footnote ⁽¹⁾					
			(e.g				Securities Beneficially ts, options, convertible			s)				
1. Title of Derivative Security (Instr. 4)				2. Date Exercisable a Expiration Date (Month/Day/Year)		nd	d 3. Title and Amount of Secur Underlying Derivative Securi		ity (Instr. 4) Conve or Exe		ercise Form:	Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
				Date	Expirat	ion			Amount or Number of	Price Deriva Secur	ative	Direct (D) or Indirect (I) (Instr. 5)		
		- *		Exercisable	Date		Title		Shares					
	dress of Reporting	-												
(Last) (First) (Middle) 7335 COTTONWOOD DRIVE														
(Street) SHAWNEE KS 66216														
(City)	(State)	((Zip)											
1. Name and Add JAYHAWK	dress of Reporting		EMEN	<u>T, L.L.C.</u>										
(Last) (First) (Middle 5410 WEST 61ST PLACE SUITE 100			(Middle)											
(Street) MISSION	KS	(66205											
(City)	(State)	((Zip)											
1. Name and Add	dress of Reporting		<u>LLC</u>											
(Last) (First) (Middle) 930 TAHOE BLVD., 802-281														
(Street) INCLINE NV 89451														
(City) (State) (Zip)			(Zip)											

1. Name and Address o	f Reporting Person [*]							
	,	-						
(Last) 7335 COTTONWO	(First) OD DRIVE	(Middle)						
(Street)								
SHAWNEE	KS	66216						
(City)	(State)	(Zip)						
1. Name and Address o JCF CO LF, L.F								
(Last) 7335 COTTONWO	(First) OD DRIVE	(Middle)						
(Street) SHAWNEE	KS	66216						
(City)	(State)	(Zip)						
1. Name and Address o	f Reporting Person [*]							
	IVATE EQUITY	<u>GP II, L.P.</u>						
(Last) 930 TAHOE BLVD	(First) 9., 802-281	(Middle)						
(Street) INCLINE								
VILLAGE	NV	89451						
(City)	(State)	(Zip)						
1. Name and Address of Reporting Person [*] JAYHAWK PRIVATE EQUITY FUND II LP								
(Last) 930 TAHOE BLVD	(First)	(Middle)						
	., 002-201							
(Street)								
INCLINE VILLAGE	NV	89451						
(City)	(State)	(Zip)						
1. Name and Address o JHAB FUND II								
(Last)	(First)							
7335 COTTONWO		(Middle)						
/555 001101000		(Middle)						
(Street) SHAWNEE		(Middle) 66216						
(Street)	OD DRIVE							
(Street) SHAWNEE	OD DRIVE KS (State) f Reporting Person*	66216						
(Street) SHAWNEE (City) 1. Name and Address o	OD DRIVE KS (State) f Reporting Person* ly SD, LLC (First)	66216						
(Street) SHAWNEE (City) 1. Name and Address o <u>McCarthy Fami</u> (Last)	OD DRIVE KS (State) f Reporting Person* ly SD, LLC (First)	66216 (Zip)						
(Street) SHAWNEE (City) 1. Name and Address o <u>McCarthy Fami</u> (Last) 7335 COTTONWO (Street)	INCOD DRIVE KS (State) f Reporting Person* <u>ly SD, LLC</u> (First) NOD DRIVE	66216 (Zip) (Middle)						

Explanation of Responses:

/s/ Kent C. McCarthy 11/07/2014 /s/ Kent C. McCarthy, Manager 11/07/2014 of Jayhawk Capital Management, L.L.C. /s/ Kent C. McCarthy, Manager of Jayhawk Private Equity, 11/07/2014 LLC /s/ Kent C. McCarthy, Manager 11/07/2014 of JHAB Management II, LLC /s/ Kent C. McCarthy, Manager of Jayhawk Capital Management, L.L.C., which is 11/07/2014 the general partner of JCF CO <u>LF, L.P</u> /s/ Kent C. McCarthy, Manager of Jayhawk Private Equity, LLC, which is the general 11/07/2014 partner of Jayhawk Private Equity GP II, L.P /s/ Kent C. McCarthy, Manager of Jayhawk Private Equity, LLC, which is the general 11/07/2014 partner of Jayhawk Private Equity GP II, L.P., which is the general partner of Jayhawk Private Equity Fund II, L.P. /s/ Kent C. McCarthy, Manager of JHAB Management II, LLC, <u>11/07/2014</u> which is the manager of JHAB Fund II, LLC /s/ Michael D. Schmitz, Manager of McCarthy Family 11/07/2014 SD, LLC ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Exhibit 99.1

Explanation of Response to Table I, Item 4: This Form 3 is being filed jointly for all members of the Section 13(d) reporting group in order to report the securities beneficially owned by McCarthy Family SD, LLC and to add McCarthy Family SD, LLC as an additional member of the Section 13(d) reporting group. Kent C. McCarthy is the manager of Jayhawk Private Equity, LLC, which is the general partner of Jayhawk Private Equity GP II, L.P. Jayhawk Private Equity GP II, L.P. is the general partner of Jayhawk Private Equity Fund II, L.P. Jayhawk Private Equity GP II, L.P. directly owns 6,670,000 shares of the issuer reported on this form. As a result, Mr. McCarthy, Jayhawk Private Equity, LLC and Jayhawk Private Equity GP II, L.P. each indirectly beneficially own 6,670,000 shares. Kent C. McCarthy is also the manager of Jayhawk Capital Management, LLC, which is the general partner of JCF CO LF, L.P. JCF CO LF, L.P. directly owns 1,858,291 shares of the issuer reported on this form. As a result, Mr. McCarthy is also the manager of JHAB Management II, LLC, which is the manager of JHAB Fund II, LLC directly owns 1,308,901 shares. Kent C. McCarthy is also the issuer reported on this form. As a result, Mr. McCarthy and JHAB Management II, LLC indirectly beneficially own 1,308,901 shares. McCarthy Family SD, LLC directly owns 50,000 shares of the issuer reported on this form. As a result, Mr. McCarthy and JHAB Management II, LLC indirectly beneficially own 1,308,901 shares. McCarthy Family SD, LLC directly owns 50,000 shares of the issuer reported on this form. Mr. McCarthy has the power to exercise investment control over the shares owned by McCarthy Family SD, LLC. As a result of being the manager of Jayhawk Capital Management II, LLC, Jayhawk Private Equity, LLC, and JHAB Management II, LLC and having indirect investment control over the shares owned by McCarthy Family SD, LLC, Kent C. McCarthy indirectly beneficially owns an aggregate of 9,887,192 shares. Each reporting person disclaims beneficial ownership of the repo